



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Crystal Ross
Crystal Ross

Applicant : David Allison Bennett, et al.
Application No. : 09/684,865
Filed : October 6, 2000
Title : Apparatus, Systems and Methods For Applying Billing
Options For Multiple Carriers For Online, Multi-Carrier,
Multi-Service Parcel Shipping Magagement
Grp./Div. : 3627
Examiner : Kenneth R. Rice
Docket No. : PSTM0019/MRK

TRANSMITTAL LETTER

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

140 S. Lake Ave., Suite 312
Pasadena, CA 91101
June 24, 2004

Commissioner:

Attached are the following:

1. Information Disclosure Statement, PTO Form 1449, and 61 cited references and documents;
2. FY 2004 Fee Transmittal (in duplicate);
3. Check No. 1702 in the amount of \$180.00 to cover the Information Disclosure Statement submission fee; and
4. Return Postcard.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

KHORSANDI PATENT LAW GROUP, ALC

By Marilyn R. Khorsandi
Marilyn R. Khorsandi
Reg. No. 45,744
Customer No. 29524
626/796-2856

MRK/cmr
Enclosures



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	09/684,865
Filing Date	10/06/2000
First Named Inventor	David Allison Bennet
Examiner Name	Kenneth R. Rice
Art Unit	3627
Attorney Docket No.	PSTM0019/MRK

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit
Account
Number

501574

Deposit
Account
Name

Khorsandi Patent Law Group, ALC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent	Multiple	-20**=	-3**=				
				X			
				X			

Multiple Dependent

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

SUBMITTED BY

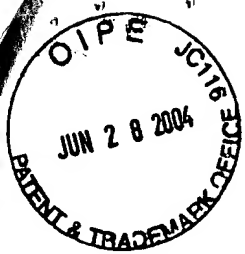
Complete (if applicable)

Name (Print/Type)	Marilyn R. Khorsandi	Registration No. (Attorney/Agent)	45,744	Telephone	(626) 796-2856
Signature	<i>Marilyn R. Khorsandi</i>	Date	June 24, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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89 3627#
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Signature: Crystal Ross
Crystal Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	David Allison Bennett, et al.	Group Art Unit:	3627
Serial No.:	09/684,865	Examiner:	Kenneth R. Rice
Filed:	October 6, 2000		
Title:	Apparatus, Systems and Methods For Applying Billing Options For Multiple Carriers For Online, Multi-Carrier, Multi-Service Parcel Shipping Management		
Atty Dckt No.:	PSTM0019/MRK		

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(c)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references and documents are enclosed. This filing is made during one of the periods described in 37 CFR § 1.97(c); the fee set forth in §1.17(p) is included herewith.

Many of the references listed on the attached Forms PTO-1449 were cited in Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application. Also listed on the attached Forms PTO-1449 are Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application.

06/30/2004 CCHAU1 00000073 09684865

01 FC:1806

180.00 OP

While this Information Disclosure Statement, and the references and documents cited in the enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of the references and documents listed in the enclosed Forms PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

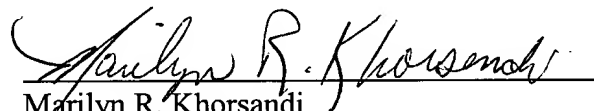
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references and documents be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Respectfully submitted,


Marilyn R. Khorsandi
Attorney/Agent for Applicant(s)
Reg. No. 45,744

June 24, 2004
Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

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Complete if Known

Application Number	09/684,865
Filing Date	10/06/2000
First Named Inventor	David Allison Bennett
Group Art Unit	3627
Examiner Name	Kenneth R. Rice
Attorney Docket Number	PSTM0019/MRK

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1.	4,351,033		Uchimura et al.	09-1982	Throughout
	2.	4,495,581		Piccione	01-1985	Throughout
	3.	4,958,280		Pauly et al.	09-1990	Throughout
	4.	5,222,018	A	Sharpe et al	06-1993	Throughout
	6.	5,413,383	A	Laurash et al.	09-1993	Throughout
	7.	5,414,809	A	Hogan et al.	05-1995	Throughout
	8.	5,485,369	A	Nicholls et al.	01-1996	Throughout
	9.	5,592,378	A	Cameron et al.	01-1997	Throughout
	10.	5,602,742	A	Solondz et al.	02-1997	Throughout
	11.	5,618,064	A	Main	04-1997	Throughout
	12.	5,724,595	A	Gentner	03-1998	Throughout
	13.	5,758,329	A	Wojcik et al.	05-1998	Throughout
	14.	5,781,634	A	Cordery et al.	07-1998	Throughout
	15.	5,832,459	A	Cameron et al.	11-1998	Throughout
	16.	5,855,006	A	Huemoeller et al.	12-1998	Throughout
	17.	5,870,717	A	Weicha	02-1999	Throughout
	18.	5,914,463	A	Dlugos	06-1999	Throughout
	19.	5,960,408	A	Martin et al.	09-1999	Throughout
	20.	5,971,587	A	Kato et al.	10-1999	Throughout
	21.	6,006,332	A	Rabne et al.	12-1999	Throughout
	22.	6,015,167	A	Savino et al.	01-2000	Throughout
	23.	6,035,291	A	Thiel	03-2000	Throughout
	24.	6,047,264	A	Fisher et al.	04-2000	Throughout
	25.	6,047,271	A	Danford-Klein et al.	04-2000	Throughout

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

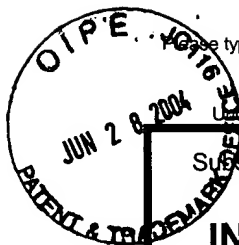
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Date Considered

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

4

Complete if Known

Application Number	09/684,865
Filing Date	10/06/2000
First Named Inventor	David Allison Bennett
Group Art Unit	3627
Examiner Name	Kenneth Rice
Attorney Docket Number	PSTM0019/MRK

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (if known)			
	26.	6,058,373	A	Blinn et al.	05-2000	Throughout
	27.	6,134,561	A	Brandien et al.	10-2000	Throughout
	28.	6,213,518	B1	Raming	04-2001	Throughout
	29.	6,285,916	B1	Kadaba	09-2001	Throughout
	30.	6,321,214	B1	Thiel	11-2001	Throughout
	31.	6,369,840	B1	Barnett et al.	04-2002	Throughout
	32.	6,466,948	B1	Levitsky et al.	10-2002	Throughout
	33.	6,470,327	B1	Carroll et al.	10-2002	Throughout
	34.	6,525,835	B1	Gulati	02-2003	Throughout
	35.	6,526,393	B1	Fredman	02-2003	Throughout
	36.	6,616,189	B2	Raming	09-2003	Throughout
	37.	6,621,532	B1	Mandt	09-2003	Throughout
	38.	RE37,521	E	Laurash et al.	01-2002	Throughout
	39.	US2002/0022983	A1	Barton	02-2002	Throughout

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	40.	JPO	JP05276353A		Nomura	10-1993	Throughout	
	41.	EPO	EP943904A1		Hasbani et al.	09-1999	Throughout	
	42.	WO	WO01/13261A1		Jueses et al.	02-2001	Throughout	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	43.	ABF Freight System, Inc. Announces the Release of Q-Rate ® for Windows, May 26, 1999 (www.abfs.com/about/news/1999/p052699.asp?bhcp=1)	
	44.	"After Disconnection from Internet of Recovery from Error, Return to Uniform Resource Locator": 1B Technical Disclosure Bulletin, October 1, 1997, vol. 40, no. 10, pages153-154	
	45.	FedEx® Services, (www.fedex.com) Archived on 01/16/1997	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	46.	Material Logistics System, (NN86091526) IBM Technical Disclosure Bulletin, September 1986, US, Volumn 26, Issue 4, Page Numbers 1526-1530	
	47.	PR Newswire: "TanData™ Corporation Announces Prologistics CS™, Their Newest, Internet Enabled Shipping and Rating Software"; July 21, 1997	
	48.	PR Newswire: "Worldwide Merchant Announces InterShipper 4.0 "The Internet Shipping Center"; March 23, 1999	
	49.	UPS Service Guide, (ww.ups.com) Archived on 12/06/1998	
	50.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated October 21, 2003 for Smith, et al.; Online, Multi-Carrier, Multi-Service Parcel Shipping Management Functional Alignment of Computer Devices; U.S. Patent Application Serial No. 09/684,010 (Attorney Docket No. PSTM0002/MRK); filed October 6, 2000	
	51.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 24, 2004 for Bennett, et al.; Apparatus, Systems And Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Location Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,871 (Attorney Docket No. PSTM0003/MRK); filed October 6, 2000	
	52.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 25, 2004 for Bennett, et al.; Apparatus, Systems And Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Parcel Handling Rules Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,870 (Attorney Docket No. PSTM0007/MRK); filed October 6, 2000	
	53.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated January 13, 2004 for Bennett, et al; Reporting Shipping Rates and Delivery Schedules For Multiple Services and Multiple Carriers; U.S. Patent Application Serial No. 09/684,869 (Attorney Docket No. PSTM0009/MRK); filed October 6, 2000	
	54.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 23, 2004 for Bennett, et al.; Apparatus, Systems And Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Notification Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/685,078 (Attorney Docket No. PSTM0010/MRK); filed October 6, 2000	

Examiner Signature	Date Considered
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Attorney Docket Number	PSTM00019/MRK

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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	55.	Examiner Akiba K. Robinson Boyce, Art Unit 3623, United States Patent Office; Office Action dated November 25, 2003 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Delivery Service Option Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,649 (Attorney Docket No. PSTM0012/MRK); filed October 6, 2000	
	56.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 31, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Rate and Delivery Schedule Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,654 (Attorney Docket No. PSTM0015/MRK); filed October 6, 2000	
	57.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated March 26, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Determination of Ratable Weight for Multiple Carriers; U.S. Patent Application Serial No. 09/684,077 (Attorney Docket No. PSTM0020/MRK); filed October 6, 2000	
	58.	Examiner Romain Jeanty, Art Unit 3623, United States Patent Office; Office Action dated February 18, 2004 for Bilbin, et al.; Apparatus, Systems and Methods for Zone Level Rating for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,014 (Attorney Docket No. PSTM0023/MRK); filed October 6, 2000	
	59.	Examiner Beth Van Doren, Art Unit 3623, United States Patent Office; Office Action dated December 24, 2003 for Bilbin, et al.; Apparatus, Systems and Methods for Determining Delivery Time Schedules for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,861 (Attorney Docket No. PSTM0024/MRK); filed October 6, 2000	
	60.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated March 11, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management; U.S. Patent Application Serial No. 09/684,866 (Attorney Docket No. PSTM0038/MRK); filed October 6, 2000	
	61.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated April 5, 2004 for Williams, et al.; Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management; U.S. Patent Application Serial No. 09/820,377 (Attorney Docket No. PSTM0041/MRK); filed March 27, 2001	

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